

091-255898

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE American, LLC (trading pursuant to unlisted trading privileges)

SEC Mail Processing

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

SEP 04 2018

3. Class of New Derivative Securities Product:

Investment Company Units

Washington, DC

4. Name of Underlying Instrument:

Morningstar Women's Empowerment Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

WOMN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.



9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Clare F. Saperstein

Title:

Associate General Counsel

Telephone Number:

(212) 656-2355

Manual Signature of Official Responsible for Form:

Clare F. Saperstein

August 31, 2018

SEC 2449 (1/99)

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public

Availability:

SEP 04 2018